# AUM CAPITAL MARKET PVT. LTD.

### Demat Debit and Pledge Instruction (DDPI) Authorization

To,

**VOLUNTARY** 

#### **AUM Capital Market Private Limited**

226/1, AJC Bose Road, "TRINITY BUILDING" 3rd Floor, Unit-3G, Kolkata - 700 020

Dear Sir/Mad	am,
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Sub: Demat Debit and Pledge Instruction (DDPI) Authorization

I/We, (UCC	) hereby explicitly agree, authorize a	and give consent to AUM CAPITAL MARKET
PVT_LTD_(ACMPL) to access F	Beneficial Owner (BO) Account	as per following purposes

S. No.	Purpose	Signature of Client*
1.	Transfer of securities held in the beneficial owner accounts of mine / us (clients) towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by me / us (clients) on the Stock Exchange through AUM CAPITAL MARKET PVT LTD.	1st Holder 2 2nd Holder 3rd Holder
2.	Pledging / re-pledging of securities in favor of AUM CAPITAL MARKET PVT LTD. / Clearing Member (CM) / Clearing Corporations (CC) for the purpose of meeting margin requirements of the clients in connection with the trades executed by me/us (the client) on the Stock Exchange.	1st Holder 22nd Holder 23rd Holder 2,
3.	Mutual fund transactions being executed on Stock Exchange order entry platforms.	1st Holder ( 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
4.	Tendering shares in open / tender offers through Stock Exchange platforms.	1st Holder 2 2nd Holder3rd Holder

I/We am/are entitled to revoke this authorisation at any time, after sending the revocation letter at your office. Such revocation shall not affect my/our obligations outstanding at the time of such revocation and the authority granted hereunder shall continue until all transactions and instructions already executed or issued have been settled.

Schedule I provides the details o demat account of ACMPL/Clearing Member/Clearing Corporations/Exchanges, where client shares/commodities can be transferred/Pledged/Repledged.

\*The same may be e-signed or signed physically. DDPI document shall be valid from the dates as specified by Regulator

#### **SCHEDULE - 1**

D.P.NAME	D.P. ID	Client ID
NSE CDSL Pool Account No.	12057800	00000010
NSE NSDL Pool Account No.	IN304211	10000061
NSE Securities Lending & Borrowing Pool Account No.	12057800	00018406
BSE CDSL Pool Account No.	12057800	00000031
BSE NSDL Pool Account No.	IN304211	10000070
NSE Early Pay in A/C No.	11000011	00017140
BSE Early Pay in A/C No.	11000010	00019536
NSE SLB Early Pay in A/C No.	11000023	00000260
TM/CM-CLIENT SECURITIES MARGIN PLEDGE ACCOUNT NSDL	IN304211	*10001494
TM/CM-CLIENT SECURITIES MARGIN PLEDGE ACCOUNT CDSL	12057800	00038925

ed and delivered for and on behalf of the (	Chefit,	
	v	
<u>A</u>		
First Holder	Second Holder	Third Holder

In case of HUF all adult Co-Parceners should sign

Name

## Signature of Co-parcener 1. \_\_\_\_\_\_

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For AUM CAPITAL MARKET PVT LTD.	*	
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Authorised Signatory		